

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#3

Docket No.: BECKER-5

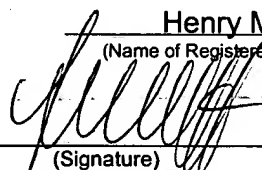
In re Application of:)
HEINER BECKER)
Appl. No: 09/486,021)
Int. Filing Date: August 21, 1998)
For: VERFAHREN ZUM SPRITZGIESSEN,)
SPRITZGIESSFORM UND)
SPRITZGIESSVORRICHTUNG SOWIE VERFAHREN)
ZUM BEFÜLLEN EINES HAUPTEXTRUDERS)
AUS EINEM NEBENEXTRUDER)

RECEIPT ACCOUNTING
DIVISION

23 MAR 29 PM 12:48

REQUEST FOR REFUND PURSUANT TO 37 C.F.R. 1.26

Assistant Commissioner for Patents
Washington, D.C. 20231
Attn.: Office of Finance

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on <u>March 23, 2000</u> .	
(Date)	
<u>Henry M. Feiereisen</u>	
(Name of Registered Representative)	
	<u>3/23/2000</u>
(Signature)	(Date of Signature)

SIR:

Petitioner hereby requests a refund in the amount of \$420.00 which represents half the filing fee of \$840.00 which was paid upon entering the national phase in above-referenced PCT International patent application, on February 18, 2000. Applicant submits herewith a facsimile transmitted copy of a verified statement under 37 C.F.R. 1.27 claiming small entity status for the above-referenced application.

Since small entity status is now properly claimed, Petitioner requests a refund in the amount of \$420.00.

The Commissioner is hereby authorized to credit the overpayment in the amount of \$420.00 to deposit account 06-0502.

Respectfully submitted,

By: 

Henry M. Feiereisen
Reg. No.: 31,084
Agent for Applicant

Date: March 23, 2000
350 Fifth Avenue,
Suite 3220
New York, N.Y. 10118
(212) 244-5500
HMF:af

Applicant or Patentee: HEINER BECKER Attorney's Docket No.: BECKER-5
 Serial or Patent No.: _____ Filed or Issued: _____
 For: VERFAHREN ZUM SPRITZGIESSEN, SPRITZGIESSFORM UND SPRITZGIESSVORRICHTUNG SOWIE
VERFAHREN ZUM BEFÜLLEN EINES HAUPTEXTRUDERS AUS EINEM NEBENEXTRUDER

VERIFIED STATEMENT (DECLARATION CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) and 1.27(b) SMALL BUSINESS CONCERN

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☐ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: STRUCTOFORM SPRITZGIESSEN ANISOTROPER STRUKTURKOMPONENTEN GMBH
 ADDRESS OF CONCERN: Jülicher Straße 336
52070 Aachen / Germany

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both. I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled VERFAHREN ZUM SPRITZGIESSEN, SPRITZGIESSFORM UND SPRITZGIESSVORRICHTUNG SOWIE VERFAHREN ZUM BEFÜLLEN EINES HAUPTEXTRUDERS AUS EINEM NEBENEXTRUDER, by inventor(s) HEINER BECKER as described in

- ☒ the specification filed herewith
☐ application serial no. _____
☐ patent no. _____

filed: _____
 issued: _____

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or any nonprofit organization under 37 CFR 1.9(e). * NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME: _____
 ADDRESS: _____
☐ Individual ☐ Small Business Concern ☐ Nonprofit Org.
 FULL NAME: _____
 ADDRESS: _____
☐ Individual ☐ Small Business Concern ☐ Nonprofit Org.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Heiner Becker

TITLE OF PERSON OTHER THAN OWNER: _____

ADDRESS OF PERSON SIGNING: Reymontstraße 34, D-52064 Aachen, Germany

SIGNATURE: Structoform GmbH

Date: 15.03.2000

Jülicher Straße 336

52070 Aachen